



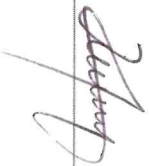
## ANNUAL PROCUREMENT PLAN

Procuring Entity's Name: **CAPITAL MARKETS AUTHORITY**

Project Name: **Procurement Plan**

Financial Year: **2019/2020**

Name Jeremiah Yego  
Prepared by Head of Procurement Unit

Sign 

Date April 26, 2019

Name Paul M. Muthaura  
Approved by Head of Procuring Entity

Sign 

Date April 26, 2019



PROCUREMENT PLAN FOR FINANCIAL YEAR 2019/2020

| Seq                                      | Item / Service Description   | Quantity  | Estimated Unit Cost KES | Unit of Issue | Estimated Total Cost KES | Procurement Method | Reservations for Target Group % |       |       |            | Timing of Activities (Quarterly Basis) |             |             |              |
|--|--|-----------|-------------------------|---------------|--------------------------|--------------------|---------------------------------|-------|-------|------------|--|-------------|-------------|--------------|
|  |  |           |                         |               |                          |                    | BKKB                            | Youth | Women | PWD        | 1st Quarter                            | 2nd Quarter | 3rd Quarter | 4th Quarter  |
| <b>DIRECTORATE OF CORPORATE SERVICES</b> |  |           |                         |               |                          |                    |                                 |       |       |            |  |             |             |              |
| <b>FINANCE DEPARTMENT</b>                |  |           |                         |               |                          |                    |                                 |       |       |            |  |             |             |              |
| 1  | Team Building for the Department   | 1         | 200,000.00              | Service       | 200,000.00               | RFO                |                                 |       |       |            |  |             |             |              |
| 2  | Customized paper to print receipts   | 50,000.00 | 50,000.00               | No.           | 50,000.00                | RFO                | 200,000.00                      |       |       |            | 200,000.00                             |             |             |              |
| 3  | Annual license for the reporting software  | 1         | 500,000.00              | Service       | 500,000.00               | DP                 | 50,000.00                       |       |       |            |  |             |             |              |
| 4  | Integrated reporting consultancy   | 1         | 400,000.00              | Service       | 400,000.00               | RT                 |                                 |       |       |            |  |             | 400,000.00  |              |
| <b>SUB-TOTAL FINANCE</b>                 |  |           |                         |               |                          |                    |                                 |       |       |            |  |             |             |              |
|  |  |           | 1,150,000.00            |               | 1,150,000.00             |                    | 250,000.00                      |       |       |            |  |             |             |              |
| <b>ICT DEPARTMENT</b>                    |  |           |                         |               |                          |                    |                                 |       |       |            |  |             |             |              |
| 5  | Collection and P2P Link  | 12        | 314,875.00              | Service       | 3,598,510.00             | RT                 |                                 |       |       |            | 647,618.00                             |             |             | 647,618.00   |
| 6  | Website Hosting  | 353       | 313,100.00              | Service       | 703,200.00               | DP                 |                                 |       |       |            | 353,100.00                             |             |             |              |
| 7  | Library Portal Hosting & Maintenance   | 1         | 426,300.00              | Service       | 851,600.00               | DP                 |                                 |       |       |            | 426,300.00                             |             |             |              |
| 8  | Intranet Support & Maintenance   | 3         | 409,500.00              | Service       | 819,000.00               | DP                 |                                 |       |       |            | 409,500.00                             |             |             |              |
| 9  | CMMP Portal Hosting and Maintenance  | 1         | 421,500.00              | Service       | 843,000.00               | DP                 |                                 |       |       | 612,480.00 |  |             |             |              |
| 10                                       | Internet Services - Primary  | 12        | 180,000.00              | Service       | 2,160,000.00             | RT                 |                                 |       |       |            |  |             |             | 540,270.00   |
| 11                                       | Internet Services - Secondary  | 31        | 100,000.00              | Service       | 3,030,000.00             | RT                 |                                 |       |       |            |  |             |             | 540,270.00   |
| 12                                       | ERP/IBRS License Renewal   | 1         | 10,545,360.00           | Service       | 10,545,360.00            | DP                 |                                 |       |       |            |  |             |             | 572,286.00   |
| 13                                       | SPSS Subscription License  | 1         | 500,000.00              | Service       | 500,000.00               | DP                 |                                 |       |       |            |  |             |             |              |
| 14                                       | ES&S Software Annual Support License Fee   | 2         | 613,072.00              | Service       | 1,226,144.00             | DP                 |                                 |       |       |            |  |             |             |              |
| 15                                       | Audit Management Software (Team Msp)   | 1         | 479,388.00              | Service       | 479,388.00               | DP                 |                                 |       |       |            |  |             |             |              |
| 16                                       | Substance System Maintenance   | 1         | 2,710,500.00            | Service       | 2,710,500.00             | DP                 |                                 |       |       |            |  |             |             |              |
| 17                                       | ESET Corporate Antivirus Renewal   | 35        | 907,827.00              | Service       | 31,774,345.00            | DP                 |                                 |       |       |            |  |             |             | 613,872.00   |
| 18                                       | Microsoft Office 365 Online License Renewal  | 20        | 20,000.00               | Service       | 400,000.00               | DP                 |                                 |       |       |            |  |             |             |              |
| 19                                       | Microsoft Windows 10 Pro Volume License  | 20        | 205,000.00              | Service       | 4,100,000.00             | DP                 |                                 |       |       |            |  |             |             |              |
| 20                                       | Microsoft Windows Server 2012 and SQL Server 2012  | 1         | 200,000.00              | Service       | 200,000.00               | DP                 |                                 |       |       |            |  |             |             |              |
| 21                                       | CTF HelpDesk License Renewal & Support   | 1         | 221,500.00              | Service       | 221,500.00               | RFO                |                                 |       |       |            |  |             |             |              |
| 22                                       | Palo Alto Firewall License Renewal   | 1         | 221,500.00              | Service       | 221,500.00               | DP                 |                                 |       |       |            |  |             |             |              |
| 23                                       | Air Conditioners Maintenance   | 4         | 62,000.00               | Service       | 248,000.00               | DP                 |                                 |       |       |            |  |             |             |              |
| 24                                       | MS Exchange Server Licenses & Dell AppAssure Backup  | 1         | 2,202,350.00            | Service       | 2,202,350.00             | RFO                |                                 |       |       |            |  |             |             |              |
| 25                                       | Business Intelligence Tools  | 1         | 1,000,000.00            | Service       | 1,000,000.00             | DP                 |                                 |       |       |            |  |             |             |              |
| 26                                       | Surveillance Camera Upgrade & Integration  | 1         | 4,400,000.00            | Service       | 4,400,000.00             | DP                 |                                 |       |       |            |  |             |             |              |
| 27                                       | Cloud Computing Services   | 1         | 2,500,000.00            | Service       | 2,500,000.00             | RT                 |                                 |       |       |            |  |             |             |              |
| 28                                       | Digital License Subscription (HR)  | 1         | 200,000.00              | Service       | 200,000.00               | RFO                |                                 |       |       |            |  |             |             |              |
| 29                                       | Network Operations Centre & Network Access Control Device Security Incident and Event Management Device (SIEM) | 1         | 2,100,000.00            | Service       | 2,100,000.00             | OT                 |                                 |       |       |            |  |             |             |              |
| 30                                       | Internet Links Load Balancing Device   | 1         | 462,355.00              | Service       | 462,355.00               | RFO                |                                 |       |       |            |  |             |             |              |
| 31                                       | Exchange Online Protection Licenses (EOP)  | 1         | 353,750.00              | Service       | 353,750.00               | RFO                |                                 |       |       |            |  |             |             |              |
| 32                                       | Fire Suppression System  | 4         | 61,345.00               | Service       | 245,380.00               | RFO                |                                 |       |       |            |  |             |             |              |
| 33                                       | Access Control System  | 4         | 231,337.50              | Service       | 925,350.00               | RFO                |                                 |       |       |            |  |             |             |              |
| 34                                       | Computer Hardware Maintenance  | 3         | 880,450.00              | Service       | 2,641,350.00             | RT                 |                                 |       |       |            |  |             |             |              |
| 35                                       | Servers & Network Infrastructure Maintenance   | 4         | 167,000.00              | Service       | 668,000.00               | RT                 |                                 |       |       |            |  |             |             |              |
| 36                                       | Air Conditioners Maintenance   | 4         | 350,000.00              | Service       | 1,400,000.00             | DP                 |                                 |       |       |            |  |             |             |              |
| 37                                       | ICT Consumables and Repairs  | 10        | 200,000.00              | No.           | 2,000,000.00             | RT                 |                                 |       |       |            |  |             |             |              |
| 38                                       | Server Hardware Repairs & Parts  | 6         | 130,000.00              | No.           | 780,000.00               | RT                 |                                 |       |       |            |  |             |             |              |
| 39                                       | Laptops and Desktops   | 21        | 1,350,000.00            | No.           | 28,200,000.00            | RT                 |                                 |       |       |            |  |             |             |              |
| 40                                       | Multifunctional Printers   | 5         | 65,000.00               | No.           | 325,000.00               | RFO                |                                 |       |       |            |  |             |             |              |
| 41                                       | IP Telephone Headsets  | 20        | 35,000.00               | No.           | 700,000.00               | DP                 |                                 |       |       |            |  |             |             |              |
| 42                                       | Color Printers   | 3         | 85,000.00               | No.           | 255,000.00               | RFO                |                                 |       |       |            |  |             |             |              |
| 43                                       | CCTV & Integration with Access Control System  | 1         | 1,000,000.00            | Lot           | 1,000,000.00             | RT                 |                                 |       |       |            |  |             |             |              |
| 44                                       | Server Computers   | 5         | 400,000.00              | No.           | 2,000,000.00             | RT                 |                                 |       |       |            |  |             |             |              |
| <b>SUB-TOTAL ICT</b>                     |  |           |                         |               |                          |                    |                                 |       |       |            |  |             |             |              |
|  |  |           | 66,277,300.00           |               | 66,277,300.00            |                    | 710,000.00                      |       |       |            | 1,000,000.00                           |             |             | 2,500,000.00 |
| <b>PROCUREMENT DEPARTMENT</b>            |  |           |                         |               |                          |                    |                                 |       |       |            |  |             |             |              |
| <b>STATIONERY ITEMS</b>                  |  |           |                         |               |                          |                    |                                 |       |       |            |  |             |             |              |
| 45                                       | Box (Bantex) Laminated Files White 1324  | 500       | 650.00                  | No.           | 325,000.00               | RFO                |                                 |       |       |            |  |             |             | 81,250.00    |
| 46                                       | Ball Point (Bio) Pens Black  | 1000      | 20.00                   | No.           | 20,000.00                | RFO                |                                 |       |       |            |  |             |             | 2,000.00     |
| 47                                       | Ball Point (Bio) Pens Blue   | 1000      | 20.00                   | No.           | 20,000.00                | RFO                |                                 |       |       |            |  |             |             | 2,000.00     |
| 48                                       | Ball Point (Bio) Pens Red  | 500       | 20.00                   | No.           | 10,000.00                | RFO                |                                 |       |       |            |  |             |             | 1,000.00     |
| 49                                       | Binding Covers (Embossed Paper A4)   | 10        | 300.00                  | Rms           | 3,000.00                 | RFO                |                                 |       |       |            |  |             |             | 750.00       |
| 50                                       | Binding Transparencies   | 10        | 6,490.00                | Rms           | 64,900.00                | RFO                |                                 |       |       |            |  |             |             | 1,622.50     |
| 51                                       | Box Files Size 14.51 (Globe)   | 900       | 250.00                  | No.           | 225,000.00               | RFO                |                                 |       |       |            |  |             |             | 56,250.00    |
| 52                                       | Box Files Size 14.51 (With Lid Globe)  | 30        | 250.00                  | No.           | 7,500.00                 | LVP                |                                 |       |       |            |  |             |             | 1,875.00     |
| 53                                       | Binder (Ball Dog) Clips Black  | 30        | 100.00                  | No.           | 3,000.00                 | LVP                |                                 |       |       |            |  |             |             | 750.00       |
| 54                                       | Calculator   | 3         | 3,165.00                | No.           | 9,495.00                 | LVP                |                                 |       |       |            |  |             |             | 6,000.00     |
| 55                                       | Carbon Paper (Pelikan) A4  | 60        | 3,560.00                | No.           | 213,600.00               | LVP                |                                 |       |       |            |  |             |             | 945.00       |
| 56                                       | Carbons Paper (Pelikan) A4   | 60        | 3,560.00                | No.           | 213,600.00               | LVP                |                                 |       |       |            |  |             |             | 945.00       |
| 57                                       | Executive Branded Pens   | 1000      | 100.00                  | No.           | 100,000.00               | RFO                |                                 |       |       |            |  |             |             | 25,000.00    |





| Seq  | Item / Service Description  | Quantity | Estimated Unit Cost KES | Unit of Issue | Estimated Total Cost KES | Procurement Method | Reservations for Target Group % |       |       |     | Timing of Activities (Quarterly Basis) |              |              |              |
|--|---|----------|-------------------------|---------------|--------------------------|--------------------|---------------------------------|-------|-------|-----|--|--------------|--------------|--------------|
|  |   |          |                         |               |                          |                    | BKMK                            | Youth | Women | PWD | 1st Quarter                            | 2nd Quarter  | 3rd Quarter  | 4th Quarter  |
| 201  | Motor Vehicle Expenses(Fuel)  | 6        | 14,000.00               | Litre         | 84,000.00                | G2G                |                                 |       |       |     | 14,000.00                              | 14,000.00    | 14,000.00    | 14,000.00    |
| 204  | MV Vehicle expenses(servicing & repairs)  | 6        | 18,000.00               | Service       | 108,000.00               | DP                 |                                 |       |       |     | 18,000.00                              | 18,000.00    | 18,000.00    | 18,000.00    |
| 205  | MV Vehicle Expense-Other/Car wash, parking  | 6        | 16,000.00               | Service       | 96,000.00                | DP                 | 96,000.00                       |       |       |     | 16,000.00                              | 16,000.00    | 16,000.00    | 16,000.00    |
| 206  | Tax expenses  | 32       | 300,000.00              | Service       | 9,600,000.00             | G2G                | 3,600,000.00                    |       |       |     | 3,000,000.00                           | 3,000,000.00 | 3,000,000.00 | 3,000,000.00 |
| 207  | Motor Vehicle   | 1        | 32,000,000.00           | No.           | 32,000,000.00            | RT                 |                                 |       |       |     | 32,000,000.00                          |              |              |              |
| 208  | OFFICE EXPENSES   |          |                         |               |                          |                    |                                 |       |       |     |  |              |              |              |
| 208  | Tile repairs & others   | 1        | 710,000.00              | Service       | 710,000.00               | RFO                |                                 |       |       |     | 710,000.00                             |              |              |              |
| 209  | Furniture & fittings (lighting, bulbs, electricals, breaking of wall to allow for loom adjustment)  | 1        | 600,000.00              | Service       | 600,000.00               | RFO                |                                 |       |       |     | 600,000.00                             |              |              |              |
| 210  | Frinking machines   | 1        | 40,000.00               | No.           | 40,000.00                | RFO                |                                 |       |       |     | 40,000.00                              |              |              |              |
| 211  | Reception Services (4th, 6th)   | 1        | 828,000.00              | Service       | 2,484,000.00             | OT                 | 2,484,000.00                    |       |       |     |  |              |              |              |
| 212  | Metal filing cabinets   | 1        | 40,000.00               | No.           | 40,000.00                | RFO                |                                 |       |       |     | 40,000.00                              |              |              |              |
| 213  | Subscriptions (DSTV)  | 1        | 70,000.00               | Lot           | 70,000.00                | DP                 |                                 |       |       |     | 70,000.00                              |              |              |              |
| 214  | Refurbishment   | 1        | 27,628,677.00           | Lot           | 27,628,677.00            | RT                 | 27,628,677.00                   |       |       |     |  |              |              |              |
| 215  | Other office services - General office repairs and modifications  | 1        | 3,000,000.00            | Lot           | 3,000,000.00             | RT                 | 3,000,000.00                    |       |       |     |  |              |              |              |
| 216  | OFFICE CLEANING   |          |                         |               |                          |                    |                                 |       |       |     |  |              |              |              |
| 216  | Office Cleaning Expenses  | 1        | 2,800,000.00            | Service       | 2,800,000.00             | OT                 | 2,800,000.00                    |       |       |     |  |              |              |              |
| 217  | INTERNAL ENTERTAINMENT  |          |                         |               |                          |                    |                                 |       |       |     |  |              |              |              |
| 217  | Internal office teas and refreshments   | 1        | 2,500,000.00            | Lot           | 2,500,000.00             | RFO                | 2,500,000.00                    |       |       |     |  |              |              |              |
| 218  | Milk  | 1        | 1,432,000.00            | No.           | 1,432,000.00             | RFO                | 1,432,000.00                    |       |       |     |  |              |              |              |
| 219  | Water (Aquamint)  | 1        | 1,200,000.00            | No.           | 1,200,000.00             | RFO                | 1,200,000.00                    |       |       |     |  |              |              |              |
| 220  | SUB TOTAL HCA   |          |                         |               | 14,923,677.00            |                    | 14,923,677.00                   |       |       |     |  |              |              |              |
| 221  | DCS TOTAL   |          |                         |               | 221,940,163.00           |                    | 221,940,163.00                  |       |       |     |  |              |              |              |
| DIRECTORATE OF MARKET OPERATIONS             |   |          |                         |               |                          |                    |                                 |       |       |     |  |              |              |              |
| 220  | Kenya Gazette Notices   | 20       | 7,000.00                | Lot           | 140,000.00               | G2G                |                                 |       |       |     |  |              |              |              |
| 221  | Printing of licenses  | 35       | 100.00                  | Service       | 3,500.00                 | RFO                | 3,500.00                        |       |       |     |  |              |              |              |
| 222  | Market sensitization and stakeholder engagements  | 1        | 700,000.00              | Service       | 7,000,000.00             | RFO                | 7,000,000.00                    |       |       |     |  |              |              |              |
| 223  | Departmental retreat/Team building  | 1        | 400,000.00              | Service       | 4,000,000.00             | RFO                | 4,000,000.00                    |       |       |     |  |              |              |              |
| 224  | Quarterly pens down   | 4        | 50,000.00               | Lot           | 200,000.00               | RFO                | 200,000.00                      |       |       |     |  |              |              |              |
| 225  | SUB TOTAL CORPORATE APPROVALS   |          |                         |               | 2,857,500.00             |                    | 2,857,500.00                    |       |       |     |  |              |              |              |
| 225  | INVESTIGATIONS AND ENFORCEMENT DEPARTMENT   |          |                         |               |                          |                    |                                 |       |       |     |  |              |              |              |
| 225  | Investigation of market players   | 1        | 15,100,000.00           | Service       | 15,100,000.00            | RT                 | 15,100,000.00                   |       |       |     |  |              |              |              |
| 226  | Fraud Investigations Costs - Camera   | 1        | 40,000.00               | No            | 40,000.00                | RFO                | 40,000.00                       |       |       |     |  |              |              |              |
| 226  | SUB TOTAL CMFU  |          |                         |               | 15,140,000.00            |                    | 15,140,000.00                   |       |       |     |  |              |              |              |
| 227  | MARKET SUPERVISION DEPARTMENT   |          |                         |               |                          |                    |                                 |       |       |     |  |              |              |              |
| 227  | Market surveillance capacity building on multi asset system   | 1        | 5,000,000.00            | Service       | 5,000,000.00             | RT                 | 5,000,000.00                    |       |       |     |  |              |              |              |
| 228  | RBS5 Upgrade  | 1        | 20,000,000.00           | Service       | 20,000,000.00            | DP                 | 20,000,000.00                   |       |       |     |  |              |              |              |
| 229  | Bloomberg Terminals   | 1        | 3,000,000.00            | No.           | 3,000,000.00             | DP                 | 3,000,000.00                    |       |       |     |  |              |              |              |
| 230  | Inspections   | 3        | 330,000.00              | Service       | 990,000.00               | RFO                | 990,000.00                      |       |       |     |  |              |              |              |
| 231  | EASRA members & other regulators & Exchange colleges activities   | 20       | 30,000.00               | Service       | 600,000.00               | RFO                | 600,000.00                      |       |       |     |  |              |              |              |
| 232  | JFS Expenses  | 1        | 200,000.00              | Service       | 200,000.00               | RFO                | 200,000.00                      |       |       |     |  |              |              |              |
| 233  | Departmental team building/retreats   | 2        | 335,000.00              | Service       | 670,000.00               | RFO                | 670,000.00                      |       |       |     |  |              |              |              |
| 233  | SUB TOTAL MARKET SUPERVISION  |          |                         |               | 29,560,000.00            |                    | 29,560,000.00                   |       |       |     |  |              |              |              |
| 234  | DHO TOTAL   |          |                         |               | 67,957,500.00            |                    | 67,957,500.00                   |       |       |     |  |              |              |              |
| DIRECTORATE OF REGULATORY, POLICY & STRATEGY |   |          |                         |               |                          |                    |                                 |       |       |     |  |              |              |              |
| REGULATORY FRAMEWORK DEPARTMENT              |   |          |                         |               |                          |                    |                                 |       |       |     |  |              |              |              |
| 234  | INVESTOR EDUCATION AND PUBLIC AWARENESS   |          |                         |               |                          |                    |                                 |       |       |     |  |              |              |              |
| 234  | Conference seminars and workshops   | 25       | 100,000.00              | Lot           | 2,500,000.00             | RFO                | 2,500,000.00                    |       |       |     |  |              |              |              |
| 235  | World Investor Week 2024 including Open Day   | 1        | 1,000,000.00            | Service       | 1,000,000.00             | RFO                | 1,000,000.00                    |       |       |     |  |              |              |              |
| 235  | Investor education brochures on products such as derivatives, SLB, Green Bonds, Spot commodities exchange, ABS, County Bonds, REITs, Regulatory Sandbox | 20000    | 50.00                   | No.           | 1,000,000.00             | RFO                | 1,000,000.00                    |       |       |     |  |              |              |              |
| 236  | Windfall testimonials brochures   | 10000    | 50.00                   | No.           | 500,000.00               | RFO                | 500,000.00                      |       |       |     |  |              |              |              |
| 237  | Infographics  | 4        | 100,000.00              | Service       | 400,000.00               | RFO                | 400,000.00                      |       |       |     |  |              |              |              |
| 238  | Behavioral study measurement index analysis   | 1        | 600,000.00              | Service       | 600,000.00               | DP                 | 600,000.00                      |       |       |     |  |              |              |              |
| 239  | Radio campaigns   | 5        | 200,000.00              | Lot           | 1,000,000.00             | RFO                | 1,000,000.00                    |       |       |     |  |              |              |              |
| 241  | Capital Markets Training e.g CISI   | 1        | 1,000,000.00            | Service       | 1,000,000.00             | RFO                | 1,000,000.00                    |       |       |     |  |              |              |              |
| 241  | SUB TOTAL IFA   |          |                         |               | 7,160,000.00             |                    | 7,160,000.00                    |       |       |     |  |              |              |              |
| 242  | MARKET DEVELOPMENT DEPARTMENT   |          |                         |               |                          |                    |                                 |       |       |     |  |              |              |              |
| 242  | IOSCO Committee Attendance  | 4        | 250,000.00              | Service       | 1,000,000.00             | RFO                | 1,000,000.00                    |       |       |     |  |              |              |              |
| 243  | CHA NSE CDSX Committee Meeting  | 4        | 10,000.00               | Service       | 40,000.00                | RFO                | 40,000.00                       |       |       |     |  |              |              |              |
| 244  | Financial Sector Regor Workshop Annual  | 2        | 400,000.00              | Service       | 800,000.00               | RFO                | 800,000.00                      |       |       |     |  |              |              |              |
| 245  | Integrated Reporting activities   | 1        | 1,000,000.00            | Lot           | 1,000,000.00             | RFO                | 1,000,000.00                    |       |       |     |  |              |              |              |
| 246  | EASRA Activities  | 4        | 811,400.00              | Lot           | 3,245,600.00             | RFO                | 3,245,600.00                    |       |       |     |  |              |              |              |
| 247  | Capital Market Soundness Report Roundtable Engagement   | 4        | 400,000.00              | Service       | 1,600,000.00             | RFO                | 1,600,000.00                    |       |       |     |  |              |              |              |
| 248  | Research Stakeholder sessions on New Products   | 3        | 600,000.00              | Lot           | 1,800,000.00             | RFO                | 1,800,000.00                    |       |       |     |  |              |              |              |
| 249  | Pricing Funding Cap   | 1        | 600,000.00              | Lot           | 600,000.00               | RT                 | 600,000.00                      |       |       |     |  |              |              |              |
| 250  | Operationalization of PE  | 1        | 150,000.00              | Service       | 150,000.00               | DP                 | 150,000.00                      |       |       |     |  |              |              |              |

| Seq | Item / Service Description   | Quantity | Estimated Unit Cost KES | Unit of Issue | Estimated Total Cost KES | Procurement Method | Reservations for Target Group % |       |       |     | Timing of Activities (Quarterly Basis) |             |             |             |
|-----|--|----------|-------------------------|---------------|--------------------------|--------------------|---------------------------------|-------|-------|-----|--|-------------|-------------|-------------|
|     |  |          |                         |               |                          |                    | BKKB                            | Youth | Women | PWD | 1st Quarter                            | 2nd Quarter | 3rd Quarter | 4th Quarter |
| 353 | Operationalization of other researches (CCP, Block Trading)        | 1        | 300,000.00              | Service       | 300,000.00               | RFO                |                                 |       |       |     | 300,000.00                             |             |             |             |
| 352 | Stakeholder field surveys  | 1        | 150,000.00              | Service       | 150,000.00               | RFO                |                                 |       |       |     | 150,000.00                             |             |             |             |
| 351 | Capital Markets Master Plan & Strategic Plan Implementation        | 11       | 200,000.00              | Service       | 2,200,000.00             | DP                 |                                 |       |       |     | 500,000.00                             | 500,000.00  | 500,000.00  | 500,000.00  |
| 354 | Strategic Plan Implementation-FinTech and Sandbox Implementation   | 1        | 1,000,000.00            | Service       | 1,000,000.00             | DP                 |                                 |       |       |     |  |             |             |             |
|     | SUB TOTAL MARKET DEVELOPMENT                                       |          |                         |               | 151,150,000.00           |                    |                                 |       |       |     |  |             |             |             |
|     | DERIVATIVES UNIT   |          |                         |               |                          |                    |                                 |       |       |     |  |             |             |             |
|     | SUB TOTAL DERIVATIVES  |          |                         |               |                          |                    |                                 |       |       |     |  |             |             |             |
| 155 | ISLAMIC FINANCE PMO  | 5        | 20,000.00               | Service       | 100,000.00               | RFO                |                                 |       |       |     |  |             |             |             |
| 156 | Participatory Finance Studies & Workshops                          | 2        | 1,500,000.00            | Service       | 3,000,000.00             | RT                 |                                 |       |       |     |  |             |             |             |
|     | SUB TOTAL ISLAMIC FINANCE  |          |                         |               | 3,100,000.00             |                    |                                 |       |       |     |  |             |             |             |
| 157 | Strategy & Policy Department                                       | 1        | 3,000,000.00            | Service       | 2,000,000.00             | RFO                |                                 |       |       |     |  |             |             |             |
|     | Mandatory Trainings/RCT Trainings/Production                       |          |                         |               | 1,000,000.00             |                    |                                 |       |       |     |  |             |             |             |
|     | SUB TOTAL STRATEGIC & POLICY                                       |          |                         |               | 2,700,000.00             |                    |                                 |       |       |     |  |             |             |             |
|     | TOTAL DRPS   |          |                         |               | 27,150,000.00            |                    |                                 |       |       |     |  |             |             |             |
|     | <b>DIRECTORATE OF CORPORATION SECRETARY &amp; COMMUNICATION</b>    |          |                         |               |                          |                    |                                 |       |       |     |  |             |             |             |
|     | <b>CORPORATE COMMUNICATIONS DEPARTMENT</b>                         |          |                         |               |                          |                    |                                 |       |       |     |  |             |             |             |
| 258 | Design and Printing of CMA Annual Report                           | 1        | 1,200,000.00            | Lot           | 1,200,000.00             | RFO                |                                 |       |       |     |  |             |             |             |
| 259 | Design of the Africa and Middle East Regional Committee Newsletter | 4        | 20,000.00               | Service       | 80,000.00                | RFO                |                                 |       |       |     |  |             |             |             |
| 260 | Video and Photography  | 20       | 30,000.00               | Service       | 600,000.00               | RFO                |                                 |       |       |     |  |             |             |             |
| 261 | Uwezo Kipeo Production of Communication Materials                  | 2        | 1,250,000.00            | Lot           | 2,500,000.00             | RT                 |                                 |       |       |     |  |             |             |             |
| 262 | Production of Videos for Aiming on CMA Social Media Properties     | 1        | 1,000,000.00            | Service       | 3,000,000.00             | RFO                |                                 |       |       |     |  |             |             |             |
| 263 | Boosting of Content on Social Media                                | 10       | 750,000.00              | Service       | 7,500,000.00             | RFO                |                                 |       |       |     |  |             |             |             |
| 264 | Design and Printing of Corporate Banners                           | 10       | 200,000.00              | Lot           | 2,000,000.00             | RFO                |                                 |       |       |     |  |             |             |             |
| 265 | Media briefing and sensitization sessions - 4, per annum           | 4        | 50,000.00               | Service       | 200,000.00               | RFO                |                                 |       |       |     |  |             |             |             |
| 266 | Staff sensitisation sessions on Corporate Communication Policies   | 4        | 50,000.00               | Service       | 200,000.00               | DP                 |                                 |       |       |     |  |             |             |             |
|     | SUB TOTAL CC   |          |                         |               | 8,570,000.00             |                    |                                 |       |       |     |  |             |             |             |
| 267 | International Travel   | 10       | 370,000.00              | Service       | 3,700,000.00             | RFO                |                                 |       |       |     |  |             |             |             |
| 268 | Accommodation expenses during JSR annual functions                 | 11       | 21,840.00               | Service       | 240,240.00               | RFO                |                                 |       |       |     |  |             |             |             |
| 269 | Court cases that CMA may file or that may be filed against CMA     | 12       | 1,350,000.00            | Service       | 15,000,000.00            | DP                 |                                 |       |       |     |  |             |             |             |
|     | SUB TOTAL LEGAL AFFAIRS  |          |                         |               | 18,940,240.00            |                    |                                 |       |       |     |  |             |             |             |
| 270 | Mandatory meetings - Airicket                                      | 5        | 100,000.00              | Service       | 500,000.00               | RFO                |                                 |       |       |     |  |             |             |             |
| 271 | Officer Accompanying CE - Airicket                                 | 3        | 350,000.00              | Service       | 1,050,000.00             | RFO                |                                 |       |       |     |  |             |             |             |
|     | SUB TOTAL IOSCO  |          |                         |               | 1,550,000.00             |                    |                                 |       |       |     |  |             |             |             |
| 272 | Local training   | 6        | 90,000.00               | Service       | 540,000.00               | RFO                |                                 |       |       |     |  |             |             |             |
| 273 | Recording and transcription services                               | 6        | 20,000.00               | Service       | 120,000.00               | RFO                |                                 |       |       |     |  |             |             |             |
| 274 | Meetings & Conferences   | 1        | 600,000.00              | Service       | 600,000.00               | RFO                |                                 |       |       |     |  |             |             |             |
|     | SUB TOTAL TRIBUNAL   |          |                         |               | 1,260,000.00             |                    |                                 |       |       |     |  |             |             |             |
| 275 | Annual Audit Retreat   | 1        | 200,000.00              | Lot           | 200,000.00               | RFO                |                                 |       |       |     |  |             |             |             |
| 276 | ERM Software support/ improvement                                  | 1        | 500,000.00              | Lot           | 500,000.00               | DP                 |                                 |       |       |     |  |             |             |             |
| 277 | Risk Management training   | 1        | 1,000,000.00            | Service       | 1,000,000.00             | RFO                |                                 |       |       |     |  |             |             |             |
|     | SUB TOTAL INTERNAL AUDIT   |          |                         |               | 1,700,000.00             |                    |                                 |       |       |     |  |             |             |             |
|     | <b>STRATEGIC PROJECTS</b>  |          |                         |               |                          |                    |                                 |       |       |     |  |             |             |             |
|     | SUB TOTAL SP   |          |                         |               |                          |                    |                                 |       |       |     |  |             |             |             |
|     | TOTAL CE DEPARTMENTS   |          |                         |               | 32,280,240.00            |                    |                                 |       |       |     |  |             |             |             |
|     | Grand Totals   |          |                         |               | 328,531,904.00           |                    |                                 |       |       |     |  |             |             |             |
|     | Less Non-ASFO Procurements   |          |                         |               | 106,372,912.00           |                    |                                 |       |       |     |  |             |             |             |
|     | Procurement Budget   |          |                         |               | 312,158,992.00           |                    |                                 |       |       |     |  |             |             |             |
|     | % of proposed awards to ASFO                                       |          |                         |               | 97,054,706.00            |                    |                                 |       |       |     |  |             |             |             |
|     | Reservation for Bay Kenya Build Kenya                              |          |                         |               | 46.81                    |                    |                                 |       |       |     |  |             |             |             |
|     | % of proposed Bay Kenya Build Kenya                                |          |                         |               | 146,372,912.00           |                    |                                 |       |       |     |  |             |             |             |
|     | KEY  |          |                         |               | 58.75                    |                    |                                 |       |       |     |  |             |             |             |
|     | OT - OPEN TENDER   |          |                         |               |                          |                    |                                 |       |       |     |  |             |             |             |
|     | EP - RESTRICTED TENDER   |          |                         |               |                          |                    |                                 |       |       |     |  |             |             |             |
|     | DP - REQUEST FOR QUOTATION   |          |                         |               |                          |                    |                                 |       |       |     |  |             |             |             |
|     | RFO - REQUEST FOR PROPOSITIONS                                     |          |                         |               |                          |                    |                                 |       |       |     |  |             |             |             |
|     | LWP - LOW VALUE PROCUREMENT  |          |                         |               |                          |                    |                                 |       |       |     |  |             |             |             |
|     | G.S.G. - GOVERNMENT TO GOVERNMENT                                  |          |                         |               |                          |                    |                                 |       |       |     |  |             |             |             |

