

Regulation 53B of the Capital Markets (Licensing Requirements) General Regulations 2002

Change in Shareholding Checklist

| No. | Requirement | Met: Y/N | Remarks | | |
|-----|---|-------------|---------|-----------------------|-------------------------|
| 1. | Name and date of incorporation of Company | | | | |
| 2. | Key information about the Current shareholding structure: | | | | |
| | Name of shareholder | | | Number of shares held | Percentage shareholding |
| | | | | | |
| 3. | Key information about the Proposed shareholding structure: | | | | |
| | Name of shareholder | | | Number of shares held | Percentage shareholding |
| 4. | Change in Shareholding Returns filed with Registrar of Companies | | | | |
| 5. | Ultimate beneficial owners of institutional shareholders together with the percentage shareholding of the said ultimate beneficial owners | | | | |
| 6. | Agreement for sale of shares(where necessary) | | | | |
| 7. | Certificate of comfort/No Objection from primary regulator of new shareholder(s) (if necessary) | | | | |
| 8. | Details of proposed change or additional appointment of director necessitated by the proposed change in shareholding | | | | |
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